

\mathcal{L} -functions and the Failure of the Local-Global Principle for Automorphic Representations of G_2

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- Given a finite dimensional space V over a field F ($\text{char}(F) \neq 2$), a non-degenerate quadratic form on V is a map $q : V \rightarrow F$ such that
 - $q(\alpha v) = \alpha^2 q(v)$ for any $\alpha \in F$ and $v \in V$.
 - $b(u, v) = q(u + v) - q(u) - q(v)$ is a non-degenerate symmetric bilinear form.
- $V = F^n$: $q(x_1, \dots, x_n) = \sum_{i,j=1}^n a_{i,j} x_i x_j$ (can take $a_{i,j} = a_{j,i}$).
- We say that q represents 0 if there exists $v \in V \setminus \{0\}$ such that $q(v) = 0$.

Examples - Binary cubic forms

Take $q_1(x, y) = x^2 + y^2$ and $q_2(x, y) = x^2 - y^2$. The form q_2 represents 0 over \mathbb{Q} while q_1 does not. $q_3(x, y) = x^2 - 2y^2$ also doesn't represent 0.

Hasse-Minkowski Theorem, 1924

Given a quadratic form q over \mathbb{Q} , q represents 0 if and only if q represents 0 over all \mathbb{Q}_p (including $\mathbb{Q}_\infty = \mathbb{R}$).

p -adic Numbers

Ostrowski, 1916

Up to equivalence, any non-trivial absolute value on \mathbb{Q} is either the standard absolute value or a p -adic absolute value for a prime p , namely $\left| \frac{m}{n} p^k \right|_p = p^{-k}$.

- For each prime p we let \mathbb{Q}_p denote the completion of \mathbb{Q} with respect to the absolute value $|\cdot|_p$; this is an ultrametric field and hence locally compact and totally disconnected.
- $\mathbb{Z}_p = \{x \in \mathbb{Q}_p \mid |x|_p \leq 1\}$ is the ring of p -adic integers. It is a local ring and $\mathbb{Z}_p/p\mathbb{Z}_p \cong \mathbb{F}_p$.
- For a quadratic space (q, V) over \mathbb{Q} , we denote by q_p the induced quadratic form on $V_p = V \otimes_{\mathbb{Q}} \mathbb{Q}_p$.
- $q_3(x, y) = x^2 - 2y^2$ doesn't represent 0 over \mathbb{Q}_2 because $|x^2|_2 \neq |2y^2|_2$ for $(x, y) \neq 0$.
- Notation: $\mathbb{Q}_\infty = \mathbb{R}$.

Hasse-Minkowski Theorem, 1924

Given a quadratic form q over \mathbb{Q} , q represents 0 if and only if q represents 0 over all \mathbb{Q}_p (including $\mathbb{Q}_\infty = \mathbb{R}$).

Remark

The Hasse-Minkowski theorem cannot be generalized to forms of higher degree.

Question

Given non-degenerate quadratic forms q_p of dimension n for all $p \leq \infty$, is there a quadratic form \tilde{q} over \mathbb{Q} such that $q_p = \tilde{q}_p$ for all p ?

- What invariants determine a (non-deg.) quadratic form over \mathbb{Q}_p ?
 - $\mathbb{Q}_\infty = \mathbb{R}$: dimension n and signature (r, s) .
 - \mathbb{Q}_p ($p < \infty$): dimension n , discriminant $d_p \in \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$ and Hasse invariant $\epsilon_p \in \{\pm 1\}$.

Corollary (to Hasse-Minkowski)

- For a quadratic form q , the Hasse invariant ϵ_p of q_p is 1 for almost all p and the product of all Hasse invariants ϵ_p is 1.
- The converse is also true. Choosing $n \in \mathbb{N}$, $d \in \mathbb{Q}^\times / (\mathbb{Q}^\times)^2$ and $\epsilon_p \in \{\pm 1\}$ for all p assume that:
 - Only finitely many of them are -1 .
 - $\prod_p \epsilon_p = 1$.
 - $\epsilon_\infty = (-1)^{\frac{s(s-1)}{2}}$, $\text{sign}(d) = (-1)^s$.

Then there exists a (unique up to equivalence) non-degenerate quadratic space (V, q) over \mathbb{Q} of dimension n with local invariants $(\epsilon_p, d(\mathbb{Q}_p^\times)^2)$.

Question

Given $d_p \in \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$ for every p , is there $d \in \mathbb{Q}^\times / (\mathbb{Q}^\times)^2$ such that $d(\mathbb{Q}_p^\times)^2 = d_p(\mathbb{Q}_p^\times)^2$ for all p ?

Dirichlet \mathcal{L} -functions

- In his seminal paper from 1837, Dirichlet introduced the following \mathcal{L} -series for a Dirichlet character $\chi : \mathbb{Z} \rightarrow \mathbb{C}$ (i.e. a function on \mathbb{Z} coming from $\chi : (\mathbb{Z}/m\mathbb{Z})^\times \rightarrow S^1$):

$$\mathcal{L}(\chi, s) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}, \quad \Re(s) > 1.$$

- For $\Re(s) > 1$ one can write $\mathcal{L}(\chi, s)$ as a product

$$\mathcal{L}(\chi, s) = \prod_{p < \infty} \mathcal{L}_p(\chi, s), \quad \mathcal{L}_p(\chi, s) = \frac{1}{1 - \chi(p)p^{-s}}.$$

- Can define $\mathcal{L}_\infty(\chi, s)$ and $L(\chi, s) = \mathcal{L}_\infty(\chi, s) \cdot \mathcal{L}(\chi, s)$ so that

$$L(\bar{\chi}, 1 - s) = \epsilon(\chi, s) \cdot L(\chi, s).$$

Dirichlet \mathcal{L} -functions and Discriminants

Question

Given $d_p \in \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$ for every p , is there $d \in \mathbb{Q}^\times / (\mathbb{Q}^\times)^2$ such that $d(\mathbb{Q}_p^\times)^2 = d_p(\mathbb{Q}_p^\times)^2$ for all p ?

- For any $p < \infty$:

$$d_p \xrightarrow{\text{LCFT}} \chi_p : \mathbb{Q}_p^\times \rightarrow \{\pm 1\} \rightsquigarrow \mathcal{L}_p(\chi_p, s) = \frac{1}{1 - \chi_p(p) p^{-s}}.$$

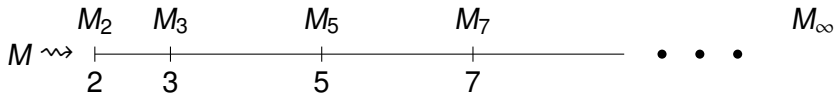
- Can define χ_∞ and $\mathcal{L}_\infty(\chi_\infty, s)$.
- Consider the product $\prod_{p \leq \infty} \mathcal{L}_p(\chi_p, s)$, the product converges for $\Re(s) \gg 0$.
- If the product admits a meromorphic continuation and a functional equation then it equals $\mathcal{L}(\chi, s)$ for a quadratic Dirichlet character χ (by Kaczorowski-Perelli converse theorem).
- $\chi \xrightarrow{\text{GCFT}} d \in \mathbb{Q}^\times \setminus (\mathbb{Q}^\times)^2$.

Question I (Existence)

When does an (arbitrary) collection $\{M_p\}$ of "local" objects arise from a "global" object M ? What are the conditions on $\{M_p\}$ so it will happen?

Question II (Uniqueness)

Is a "global" object M uniquely determined by its "local" constituents M_p ? If not, can we characterize all the "global" objects M' with "local" constituents $\{M_p\}$?



The Ring of Adeles

- $\mathbb{A} = \left\{ (x_p) \in \prod_{p \leq \infty} \mathbb{Q}_p \mid x_p \in \mathbb{Z}_p \text{ for almost all } p \right\}$.
This construction is called a **restricted product**.
- Another description: $\mathbb{A} = \varinjlim_S \left(\prod_{p \in S} \mathbb{Q}_p \times \prod_{p \notin S} \mathbb{Z}_p \right)$.
- Yet another way: $\mathbb{A} = (\mathbb{Q} \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}) \times \mathbb{R}$.
- \mathbb{A} is a locally-compact normed ring.
- $\mathbb{Q} \hookrightarrow \mathbb{A}$ is a discrete subring and the quotient $\mathbb{Q} \backslash \mathbb{A}$ is compact.
- $\mathbb{Q}^\times \backslash \mathbb{A}^\times$ has finite measure (but is not compact).
- For all m : $(\mathbb{Z}/m\mathbb{Z})^\times \cong \mathbb{Q}^\times \backslash \mathbb{A}^\times / K_m$. This gives rise to a bijection:

$$\{\text{Dirichlet characters}\} \longleftrightarrow \{\chi : \mathbb{Q}^\times \backslash \mathbb{A}^\times \rightarrow \mathbb{S}^1\}$$

Adelic groups

- Let G be a nice (split & simple) algebraic group (scheme) over \mathbb{Z} , e.g. SL_n , symplectic groups, orthogonal groups, exceptional groups...
- We wish to consider $G(\mathbb{A})$, the \mathbb{A} -points of G .
- For any $p < \infty$, $G(\mathbb{Z}_p)$ is a maximal compact subgroup of $G(\mathbb{Q}_p)$.
- $G(\mathbb{A}) = \{(g_p) \in \prod_{p \leq \infty} G(\mathbb{Q}_p) \mid g_p \in G(\mathbb{Z}_p) \text{ for almost all } p\}$.
- $G(\mathbb{A})$ is locally-compact, $G(\mathbb{Q})$ is a discrete subgroup of $G(\mathbb{A})$ and $G(\mathbb{Q}) \backslash G(\mathbb{A})$ has finite volume.

Cuspidal Representations

- A classical question in number theory is to decompose $L^2(\Gamma \backslash SL_2(\mathbb{R}))$, where Γ is a discrete subgroup of $SL_2(\mathbb{R})$.
- Hidden symmetries yield an embedding of $L^2(\Gamma \backslash SL_2(\mathbb{R}))$ in $L^2(SL_2(\mathbb{Q}) \backslash SL_2(\mathbb{A}))$.
- We wish to study $L^2(G(\mathbb{Q}) \backslash G(\mathbb{A}))$ as a representation of $G(\mathbb{A})$.
- We say that a form $\varphi \in L^2(G(\mathbb{Q}) \backslash G(\mathbb{A}))$ is **cuspidal** if it holds that

$$\int_{U(\mathbb{Q}) \backslash U(\mathbb{A})} \varphi(ug) du = 0$$

for any unipotent radical U of any proper parabolic subgroup of G and almost all $g \in G(\mathbb{A})$.

$$L^2(G(\mathbb{Q}) \backslash G(\mathbb{A})) = L^2_{disc.} \oplus L^2_{cont.} = L^2_{cusp.} \oplus \underbrace{L^2_{res.} \oplus L^2_{cont.}}_{\text{Can be constructed using Eisenstein series}}$$

Can be constructed using Eisenstein series

- A subrepresentation $\pi \subset L^2_{cusp.}$ is called **Cuspidal**.
- Cuspidal representations are **embedded** representations!

Structure of Irreducible Representations of $G(\mathbb{A})$

Theorem (Flath, 1979)

Any admissible irreducible (abstract) representation π of $G(\mathbb{A})$ is the restricted tensor product $\otimes'_{p \leq \infty} \pi_p$ of irreducible representations π_p of $G(\mathbb{Q}_p)$ such that π_p is unramified for almost all p .

- A representation π_p of $G(\mathbb{Q}_p)$ is said to be **unramified** if there exists a non-zero vector fixed by $G(\mathbb{Z}_p)$, i.e.

$$0 \neq v_p^0 \in \pi_p^{G(\mathbb{Z}_p)}.$$

- The restricted tensor product is defined to be:

$$\otimes'_{p \leq \infty} \pi_p = \text{Span}_{\mathbb{C}} \left\{ \otimes_{p \leq \infty} v_p \mid v_p = v_p^0 \text{ for almost all } p \right\}.$$

Automorphic \mathcal{L} -functions

Theorem (Satake, Langlands)

$$\left\{ \begin{array}{l} \text{equivalence classes} \\ \text{of unramified} \\ \text{representations of } G(\mathbb{Q}_p) \end{array} \right\} \begin{array}{l} \longleftrightarrow \\ \\ \longleftrightarrow \end{array} \left\{ \begin{array}{l} \text{conjugacy classes} \\ \text{of semi-simple} \\ \text{elements in } {}^L G \end{array} \right\}$$

$$\pi_p \qquad \qquad \qquad t_{\pi_p}$$

- For $\pi = \otimes'_{p \leq \infty} \pi_p$, ρ a finite dimensional representation of ${}^L G$, a finite set of primes $S \ni \infty$ and $s \in \mathbb{C}$ we let

$$\mathcal{L}^S(s, \pi, \rho) = \prod_{p \notin S} \frac{1}{\det(1 - \rho(t_{\pi_p}) p^{-s})}.$$

Local-Global Principle for GL_n

Question II (Uniqueness)

Is a cuspidal representation π of $GL_n(\mathbb{A})$ uniquely determined by its local constituents π_p ? **YES**

Multiplicity One Theorem (Jacquet, Langlands, Piatetski-Shapiro, Shalika)

For any irreducible representation π of $GL_n(\mathbb{A})$, the multiplicity of π in the space $L^2_{cusp}(GL_n(\mathbb{A}))$ of cusp forms is at most 1.

Question I (Existence)

When does $\otimes' \pi_p$ embeds into L^2_{cusp} ? **YES**

Converse Theorem (Weil, Jacquet, Piatetski-Shapiro, Shalika, Cogdell)

Let $\pi = \otimes' \pi_p$ be an irreducible admissible representation of $GL_n(\mathbb{A})$ ($n \geq 2$), such that $\mathcal{L}(\pi \times \tau, s)$ is "nice" for every cuspidal representation τ of $GL_m(\mathbb{A})$ ($1 \leq m \leq n-1$). Then π is a cuspidal representation.

Question II' (strong version)

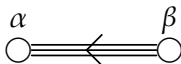
Is a "global" object M uniquely defined by its "local" constituents M_p at all but finitely many primes?

Strong Multiplicity One Theorem (Piatetski-Shapiro, Jacquet, Shalika)

For any two cuspidal representations π and π' of $GL_n(\mathbb{A})$, if π and π' are **nearly equivalent** (i.e. $\pi_p \cong \pi'_p$ for almost all p) then $\pi = \pi'$ **as cuspidal representations**.

The Group G_2

- Let \mathbb{O} denote the (split) Cayley algebra of dimension 8 over \mathbb{Q} named the **octonions**. This is a **non-associative** composition algebra.
- The group of automorphisms of \mathbb{O} is a split, simple, adjoint and simply-connected exceptional group of type G_2 .
- There is a natural norm map $Nm : \mathbb{O} \rightarrow \mathbb{Q}$ so G_2 admits a natural embedding to SO_8 .
- G_2 preserves the decomposition $\mathbb{O} = \mathbb{Q} \oplus \mathbb{O}^0$, where \mathbb{O}^0 are the imaginary octonions and hence G_2 admits an embedding to SO_7 (this is the standard representation of G_2).



Theorem (Gan, Gurevich, Jiang)

- For any finite set of primes S there exists a representation π_S , unramified at all primes not in S , of $G_2(\mathbb{A})$ such that

$$\text{mult}_{L_{\text{cusp}}^2}(\pi_S) = \frac{1}{6} (2^{\#S} + (-1)^{\#S} 2) - 1.$$

- $\mathcal{L}^S(s, \pi_S)$ has a double pole of order 2 at $s = 2$.

Theorem (S)

Let π be a cuspidal representation of $G_2(\mathbb{A})$ such that $\mathcal{L}^S(s, \pi_S)$ has a double pole of order 2 at $s = 2$. Then $\pi \cong \pi_S$ as abstract representation.

Something About the Proof

Theorem (S) - An Integral Representation

$$\int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \mathcal{E}(s, g) dg = \mathcal{L}^S(s, \pi) d_S(\varphi, \mathcal{E}, s),$$

where $\varphi \in \pi$ and \mathcal{E} is a certain degenerate Eisenstein series on $Spin_8$.

Remark

It can happen that the LHS is 0 (and then so is $d_S(\varphi, \mathcal{E}, s)$). However, if $\mathcal{L}^S(s, \pi_S)$ has a double pole of order 2 at $s = 2$ then both sides are non-zero.

Corollary

$\mathcal{L}^S(s, \pi)$ admits meromorphic continuation.

- $G_2 \times S_3 \hookrightarrow \text{Spin}_8 \rtimes S_3$ is a dual reductive pair.

Theorem (Gan, Gurevich, Jiang)

For the split Spin_8 , the Eisenstein series $\mathcal{E}(s, g)$ admits a double pole at $s = 2$ and the residual representation Π is minimal.

- Let $\theta(g) = \text{Res}_{s=2} [\mathcal{E}(s, g)]$.

Remark

$$\Theta_{S_3}(\varphi)(h) = \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \theta(g, h) dg, \quad (g, h) \in G_2(\mathbb{A}) \times S_3(\mathbb{A})$$

generates a representation $\Theta_{S_3}(\pi)$ of $S_3(\mathbb{A})$.

Goal

Show that $\Theta_{S_3}(\pi) \neq 0$.

$$\int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \mathcal{E}(s, g) dg = \mathcal{L}^S(\pi, s) d_S(\varphi, \mathcal{E}, s),$$

$$\int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \theta(g, h) dg = \Theta_{S_3}(\varphi)(h), \quad (g, h) \in G_2(\mathbb{A}) \times S_3(\mathbb{A}).$$

- Assume that $\mathcal{L}^S(\pi, s)$ admits a double pole at $s = 2$, then

$$\begin{aligned} 0 &\neq \lim_{s \rightarrow 2} (s - 2)^2 \mathcal{L}^S(\pi, s) \\ \Rightarrow \exists \varphi, \mathcal{E} : \quad 0 &\neq \operatorname{Res}_{s=2} \left[\int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \mathcal{E}(s, g) dg \right] \\ &= \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \operatorname{Res}_{s=2} [\mathcal{E}(s, g)] dg \\ &= \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \theta(g) dg = \Theta_{S_3}(\varphi)(1) \end{aligned}$$

- Hence $\Theta_{S_3}(\pi) \neq 0$.

Shadows of Eisenstein Series

Conjecture (Ramanujan-Petersson-Satake)

For any cuspidal representation $\pi = \otimes' \pi_p$ of $G(\mathbb{A})$, π_p is tempered for all p (i.e. $\pi_p \in L^{2+\epsilon}(G(\mathbb{Q}_p))$ for all $\epsilon > 0$).

- A representation π is called **CAP** (cuspidal associated to parabolic) with respect to the Borel subgroup B if there is a character μ of $B(\mathbb{A})$ such that π is nearly-equivalent to an irreducible constituent of $\text{Ind}_{B(\mathbb{A})}^{G_2(\mathbb{A})} \mu$.
- CAP representations provide counter examples to the Ramanujan-Petersson-Satake conjecture.

Theorem (S)

All CAP representation π of $G_2(\mathbb{A})$ with respect to the Borel subgroup are constructed by a lift from a quasi-split form of S_3 and can be characterized by the analytic behaviour of $\mathcal{L}(s, \pi)$ at $s = 2$.

Thank You!

The Gan-Gurevich-Jiang Construction

- $G_2 \times S_3 \hookrightarrow \text{Spin}_8 \rtimes S_3$ is a dual reductive pair.
- In fact,

$$\begin{array}{ccccc} \left\{ \begin{array}{c} \text{Iso. classes of} \\ \text{Quasi-split} \\ \text{forms of } D_4/\mathbb{Q} \end{array} \right\} & \longleftrightarrow & \left\{ \begin{array}{c} \text{Iso. classes} \\ \text{of étale cubic} \\ \text{algebras over } F \end{array} \right\} & \longleftrightarrow & \left\{ \begin{array}{c} \text{Iso. classes of} \\ \text{Quasi-split} \\ \text{forms of } S_4/\mathbb{Q} \end{array} \right\} \\ \text{Spin}_8^E & \longleftrightarrow & E & \longleftrightarrow & S_E \end{array}$$

and $G_2 \times S_E \hookrightarrow \text{Spin}_8^E \rtimes S_E$ is a dual reductive pair.

Theorem (S)

If π is a CAP representation of $G_2(\mathbb{A})$ with respect to the Borel subgroup can then $\theta_{S_E}(\pi) \neq 0$ for some E (determined by the Fourier coefficients of π).

- For simplicity we will consider the case relevant to S_3 . Namely, we assume that π supports the "split" Fourier coefficient.

- Assume that π is a CAP representation with respect to the Borel subgroup B . Namely, π is nearly-equivalent to a subquotient of $\text{Ind}_{B(\mathbb{A})}^{G(\mathbb{A})} \mu$. WALOG, one can assume that $\mu = \mu_1 \boxtimes \mu_2$ lies in the positive Weyl chamber, i.e. $\Re(\mu_2) \leq \Re(\mu_1) \leq 2 \cdot \Re(\mu_2)$.
- Fact: If $\mu_1 = \mu_2 = |\cdot|$ then $\Theta_{S_3}(\pi) \neq 0$.

$$\mathcal{L}^S(s, \pi, \chi) = \mathcal{L}_F^S(s, \mu_1 \chi) \mathcal{L}_F^S(s, \mu_1^{-1} \chi) \mathcal{L}_F^S(s, \mu_2 \chi) \mathcal{L}_F^S(s, \mu_2^{-1} \chi) \mathcal{L}_F^S\left(s, \frac{\mu_1}{\mu_2} \chi\right) \mathcal{L}_F^S\left(s, \frac{\mu_2}{\mu_1} \chi\right) \mathcal{L}_F^S(s, \chi)$$

Theorem (S)

- For $\Re(s) > 0$: If $\chi^2 = \mathbf{1}$ then $\mathcal{L}^S(s, \pi, \chi, st)$ is holomorphic at $s \neq 1, 2$ and

$$\text{ord}_{s=1}(\mathcal{L}^S(s, \pi, \chi, st)) \leq 1, \quad \text{ord}_{s=2}(\mathcal{L}^S(s, \pi, \chi, st)) \leq 2.$$

- If $\chi^2 \neq \mathbf{1}$ then $\mathcal{L}^S(s, \pi, \chi, st)$ is holomorphic for any $\Re(s) > 0$.
- For $\chi = \mu_1 \cdot |\cdot|^{-1}$, $\mathcal{L}^S(s, \pi, \chi, st)$ admits a pole at $s = 2$ so $\mu_1^2 = \mathbf{1}$ or $\mu_1 = |\cdot|$. Similarly for μ_2 .

- If $\mu_1 = \mathbf{1}$ then $\mathcal{L}^S(s, \pi, \chi, st) = \mathcal{L}^S(s, \chi)^3 \mathcal{L}^S(s, \mu_2 \chi)^4$ which admits a pole of order at least 4 at $s = 1$ which brings us to a contradiction. Similarly for μ_2 .
- Assume $\text{ord}(\mu_1) = \text{ord}(\mu_2) = 2$.

$$\mathcal{L}^S(s, \pi, \chi, st) = \mathcal{L}_F^S(s, \eta_1 \chi)^2 \mathcal{L}_F^S(s, \eta_2 \chi)^2 \mathcal{L}_F^S(s, \eta_1 \eta_2 \chi)^2 \mathcal{L}_F^S(s, \chi).$$

It follows that $\mathcal{L}^S(s, \pi, \mu_1, st)$ admits a pole of order at least 2 at $s = 1$ which brings us to a contradiction.

- It follows that $\mu_1 = \mu_2 = |\cdot|$ and hence $\Theta_{S_3}(\pi) \neq 0$.