

Poles of the Standard \mathcal{L} -function and Functorial Lifts for G_2

Avner Segal

Department of Mathematics
University of British Columbia
avners@math.ubc.ca

1 A Crash Course in Functoriality

2 Realizations of Functoriality

3 Functoriality and G_2

Square-Integrable Automorphic Representations

- Throughout this talk, G is a split, simple and connected algebraic group defined over \mathbb{Z} (e.g. SL_n , PGL_n , Sp_{2n} , SO_m , G_2 , etc.).
- Consider the right-regular (complex) representation of $G(\mathbb{A})$:

$$L^2(G(\mathbb{Q}) \backslash G(\mathbb{A})) = L^2_{disc.}(G) \oplus L^2_{cont.}(G)$$

Example - Groups with Discrete and Continuous Spectrum

- Discrete spectrum: $L^2(S^1) = \bigoplus_{n \in \mathbb{Z}} \mathbb{C} \cdot e^{2\pi i x n}$.
- Continuous spectrum: $L^2(\mathbb{Z}) = \int_{S^1}^{\oplus} (\mathbb{C} \cdot e^{2\pi i x n}) dx$.
- A square-integrable automorphic representation is a unitary subrepresentation $\pi \subset L^2_{disc.}(G)$. Automorphic representations are **embedded** representations!

- We say that a form $\varphi \in L^2(G(\mathbb{Q}) \backslash G(\mathbb{A}))$ is **cuspidal** if

$$\int_{U(\mathbb{Q}) \backslash U(\mathbb{A})} \varphi(ug) du = 0$$

for any unipotent radical U of any proper parabolic subgroup of G and almost all $g \in G(\mathbb{A})$.

- The space of cuspidal forms is denoted by $L^2_{\text{cusp.}} \subset L^2_{\text{disc.}}(G)$.

$$L^2(G(\mathbb{Q}) \backslash G(\mathbb{A})) = L^2_{\text{disc.}} \oplus L^2_{\text{cont.}} = L^2_{\text{cusp.}} \oplus \underbrace{L^2_{\text{res.}} \oplus L^2_{\text{cont.}}}_{\text{Can be constructed using Eisenstein series}}$$

Can be constructed
using Eisenstein series

- A **cuspidal representation** is a unitary subrepresentation of $L^2_{\text{cusp.}}$. Cuspidal representations are **embedded** representations!

Irreducible Representations of $G(\mathbb{A})$

Theorem (Flath)

For an irreducible admissible representation π of $G(\mathbb{A})$ we have $\pi = \otimes'_{p \leq \infty} \pi_p$, where π_p is unramified for almost all p .

- $\pi_p : G(\mathbb{Q}_p) \rightarrow \text{End}(V_{\pi_p})$ is said to be **unramified** if it contains a non-zero $G(\mathbb{Z}_p)$ -fixed vector.

$$\otimes'_{p \leq \infty} \pi_p = \text{Span}_{\mathbb{C}} \left\{ \otimes_{p \leq \infty} v_p \mid v_p = v_p^0 \text{ for almost all } p \right\}.$$

- $\pi = \otimes'_{p \leq \infty} \pi_p$ and $\pi' = \otimes'_{p \leq \infty} \pi'_p$ are said to be **nearly-equivalent** if $\pi_p \cong \pi'_p$ for almost all p .
- If $\pi_p \cong \pi'_p$ for all p , then $\pi \cong \pi'$. However, for automorphic representations, it is possible that $\pi \neq \pi'$.

Dual Groups

- For a split, simple and connected algebraic group G , Langlands defined a complex Lie group G^\vee called the **complex dual group of G** .
- Examples:

$$G = SL_n \rightsquigarrow G^\vee = PGL_n(\mathbb{C}), \quad G = PGL_n \rightsquigarrow G^\vee = SL_n(\mathbb{C}), \quad (A_n)$$

$$G = Sp_{2n} \rightsquigarrow G^\vee = SO_{2n+1}(\mathbb{C}), \quad G = SO_{2n+1} \rightsquigarrow G^\vee = Sp_{2n}(\mathbb{C}), \quad (BC_n)$$

$$G = SO_{2n} \rightsquigarrow G^\vee = SO_{2n}(\mathbb{C}), \quad (D_n)$$

$$G = G_2 \rightsquigarrow G^\vee = G_2(\mathbb{C}). \quad (G_2)$$

Satake Parameters

Theorem (Satake, Langlands)

There is a bijection

$$\left\{ \begin{array}{c} \text{Equivalence classes} \\ \text{of unramified} \\ \text{representations of } G(\mathbb{Q}_p) \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{c} \text{Conjugacy classes} \\ \text{of semi-simple} \\ \text{elements in } G^\vee \end{array} \right\} .$$

$$\pi_p \qquad \longleftrightarrow \qquad t_{\pi_p}$$

Example - $G = SL_2$

Let $G = SL_2$, and let $\chi : B \rightarrow \mathbb{C}^\times$ be given by $\chi \left(\begin{smallmatrix} t & x \\ & t^{-1} \end{smallmatrix} \right) = |t|^s$. The

parabolic induction $\text{Ind}_{B(\mathbb{Q}_p)}^{G(\mathbb{Q}_p)} \chi$ admits a unique unramified constituent $\pi_{p,s}$. The Satake parameter of $\pi_{p,s}$ is the conjugacy class of

$$t_{\pi_{p,s}} = \begin{pmatrix} p^{-s} & \\ & 1 \end{pmatrix} \text{ in } PGL_2(\mathbb{C}).$$

Functoriality Principle

Given $G, H, r : H^\vee \rightarrow G^\vee$, one expects a map

$$\begin{aligned} L_{disc.}^2(H) &\xrightarrow{r_*} L_{disc.}^2(G) \\ \tau = \otimes' \tau_p &\longrightarrow \pi = \otimes' \pi_p \end{aligned}$$

such that $t_{\pi_p} = r(t_{\tau_p})$ for almost every p . Such π is called a **weak functorial lift of τ** .

This gives rise to a map:

$$r_* : \left\{ \begin{array}{l} \text{nearly equivalence classes} \\ \text{of irreducible automorphic} \\ \text{representations of } H(\mathbb{A}) \end{array} \right\} \rightarrow \left\{ \begin{array}{l} \text{nearly equivalence classes} \\ \text{of irreducible automorphic} \\ \text{representations of } G(\mathbb{A}) \end{array} \right\}$$

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Question

Given an irreducible automorphic representation $\pi = \otimes \pi_p$ of $G(\mathbb{A})$, is π in the image of r_* ?

Possible Approach

Use an explicit construction

$$\mathcal{B} : L_{disc.}^2(G) \rightarrow L_{disc.}^2(H)$$

such that if $\mathcal{B}(\pi) \neq 0$ then for any irreducible representation $\tau \subset \mathcal{B}(\pi)$ it holds that $\pi = r_*(\tau)$. Such τ is called a **backward lift** of π .

Example - θ -lift

- Dual reductive pair: Assume that $G \times H \subset M$ so that $\text{Cent}_M(G) = H$ and $\text{Cent}_M(H) = G$.
- Example: $G = \widetilde{\text{Sp}}_{2n}$ and $H = \text{SO}_m$ (or vice versa).
- Assume that $M(\mathbb{A})$ admits a minimal representation $\Pi \subset L_{res.}^2(M)$.
- For $\pi \subset L_{cusp.}^2(G)$ irreducible, consider the representation $\Theta(\pi)$ of $H(\mathbb{A})$ spanned by:

$$\Theta(\varphi)(h) = \int_{G(\mathbb{Q}) \backslash G(\mathbb{A})} \varphi(g) \theta(g, h) dg, \quad \varphi \in \pi, \theta \in \Pi.$$

Question

Is $\Theta(\pi) \neq 0$? If so, is π a weak functorial lift?

- For $\rho : G^{\vee} \rightarrow GL_N(\mathbb{C})$ and a finite set of primes $S \ni \infty$ such that π is unramified outside of S , Langlands constructed the partial \mathcal{L} -function:

$$\mathcal{L}^S(\pi, s, \rho) = \prod_{p \notin S} \det(1 - \rho(t_{\pi_p}) p^{-s})^{-1}.$$

- For $G = GL_1$, $\pi = \mathbf{1}$, $S = \{\infty\}$ and $\rho = \mathbf{1}$: $\mathcal{L}^S(\pi, s, \rho) = \zeta(s)$
- This product converges for $\Re(s) \gg 0$.

Conjecture (Langlands)

$\mathcal{L}^S(\pi, s, \rho)$ admits a meromorphic continuation to \mathbb{C} .

$$H^{\vee} \xrightarrow{r_*} G^{\vee} \xrightarrow{\rho} GL_N(\mathbb{C})$$

Baby Example - \mathcal{L} -functions and Weak Lifts

If ρ is chosen so that $\rho \circ r = \rho' \oplus \mathbf{1}$ then

$$\mathcal{L}_G^S(r_*(\tau), s, \rho) = \mathcal{L}_H^S(\tau, s, \rho \circ r) = \zeta^S(s) \mathcal{L}_H^S(\tau, s, \rho'). \quad (*)$$

If $\mathcal{L}_H^S(\tau, s, \rho')$ is holomorphic and non-vanishing at $s = 1$ then $\mathcal{L}_G^S(r_*(\tau), s, \rho)$ has a simple pole at $s = 1$. Usually, one sees multiple (shifted) ζ -functions and Dirichlet \mathcal{L} -functions.

Goal - Proving the Converse (Prototype)

If $\mathcal{L}^S(\pi, s, \rho)$ has a pole at $s = s_0$ of order n then $\pi = r_*(\tau)$ for some automorphic representation τ of $H(\mathbb{A})$.

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Main Tool - Integral Representations of \mathcal{L} -functions

$$\mathcal{Z}(s, \varphi, \dots) = \mathcal{L}^S(\pi, s, \rho) \cdot \boxed{\text{ramified factor}},$$

Example

$$\mathcal{Z}(s, \varphi, \mathcal{E}) = \int_{G(\mathbb{Q}) \backslash G(\mathbb{A})} \varphi(g) \mathcal{E}(g, s) dg,$$

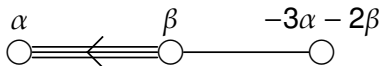
where $\varphi \in \pi$ and \mathcal{E} is an Eisenstein series on a larger group G' .

General Strategy (Rallis)

- Assume that $\mathcal{L}^S(\pi, s, \rho)$ admits a pole of order n at $s = s_0$.
- Then $(s - s_0)^n \mathcal{Z}(\varphi, s, \dots) \neq 0$ on π .
- Show that $\mathcal{B}(\pi) \neq 0$ (these requires that the construction of $\mathcal{Z}(\varphi, s, \dots)$ will encode $\mathcal{B}(\pi)$).
- Let $\tau \subseteq \mathcal{B}(\pi)$ be an irreducible automorphic representation. Show that $\pi = r_*(\tau)$.
- Kudla-Rallis implemented this strategy for $(\widetilde{Sp}_{2n}, SO_m)$.
- Today: Implementation for $G = G_2$ and various H and r .

The Group G_2

- Let \mathbb{O} denote a (split) Cayley algebra of dimension 8 over \mathbb{Q} named the **octonions**. This is a **non-associative** composition algebra.
- The group of automorphisms of \mathbb{O} is a split, simple, adjoint and simply-connected exceptional group of type G_2 .
- There is a natural map $Nm : \mathbb{O} \rightarrow \mathbb{Q}$ so G_2 admits a natural embedding to SO_8 .
- In fact, G_2 preserves the decomposition $\mathbb{O} = \mathbb{Q} \oplus \mathbb{O}^0$, where \mathbb{O}^0 are the imaginary octonions and hence G_2 admits an embedding st into SO_7 .

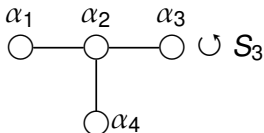


$$r_1 : SL_3(\mathbb{C}) \rightarrow G_2(\mathbb{C})$$

$$r_2 : SL_2(\mathbb{C}) \times SL_2(\mathbb{C}) \rightarrow G_2(\mathbb{C})$$

$$\left\{ \begin{array}{l} \text{Iso. classes} \\ \text{of étale cubic} \\ \text{algebras over } \mathbb{Q} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Iso. classes of} \\ \text{Quasi-split} \\ \text{forms of } D_4/\mathbb{Q} \end{array} \right\}$$

$$E \quad \longleftrightarrow \quad H_E = \text{Spin}_8^E$$



- $(\text{Spin}_8)^{S_3} = G_2$.
- In fact, $H_E^{S_E} = G_2$, where $S_E = \text{Aut}_{\mathbb{Q}}(E)$.
- $G_2 \times S_E \subset H_E \rtimes S_E$ is a dual reductive pair.

A Rankin-Selberg Integral

- Let $\pi \boxtimes \chi$ be a cuspidal representation of $G_2(\mathbb{A}) \times GL_1(\mathbb{A})$ and let $\mathcal{E}(\chi, f, s, g)$ be a (normalized) degenerate Eisenstein series on $Spin_8^E(\mathbb{A})$ (induced from the Heisenberg parabolic subgroup).

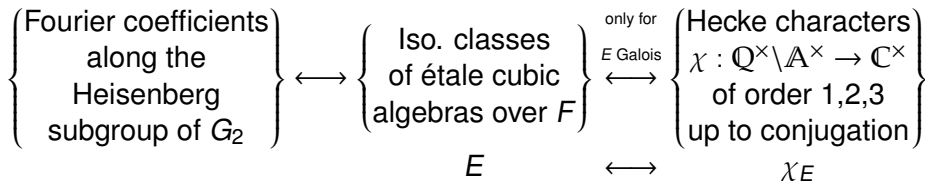
Theorem (S)

For $\varphi \in \pi$:

$$\begin{aligned} \mathcal{Z}(\chi, \varphi, f, s) &= \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \mathcal{E}\left(\chi, f, s - \frac{1}{2}, g\right) dg \\ &= \mathcal{L}^S(s, \pi \boxtimes \chi, st) d_S(f_S, \varphi_S, s). \end{aligned}$$

For any s_0 there exist data so that $d_S(f_S, \varphi_S, s)$ is holomorphic and non-zero.

Both $\mathcal{L}^S(s, \pi \boxtimes \chi, st)$ and $d_S(f_S, \varphi_S, s)$ admits meromorphic continuation to \mathbb{C} . $d_S(f_S, \varphi_S, s)$ is non-zero when E is matched with a non-zero Fourier-coefficient of π .



Corollary

$$\text{ord}_{s=s_0} \mathcal{L}^S(s, \pi, \chi, st) \leq \text{ord}_{s=s_0} \mathcal{E}\left(\chi, s - \frac{1}{2}, f, g\right).$$

The Poles of $\mathcal{E}_E\left(\chi, s - \frac{1}{2}, f, g\right)$ for $\Re(s) > 0$ (S)

	$s = 1$	$s = 2$		$s = 3$
	$\chi^2 = 1$	$\chi = 1$	$\chi = \chi_E$	$\chi = 1$
$E = F \times F \times F$	1	2		1
$E = F \times K$	1	1	1	1
E Galois field extension	1	0	1	1
E non-Galois	1	0	X	1

Backward Lift for r_{1*} : The Gan-Gurevich-Jiang Lift

- (Gan, Gurevich, Jiang) The residual representation of $\mathcal{E}(\chi_E, f, s - \frac{1}{2}, g)$ at $s = 2$ is the minimal representation Π_E .
- The dual reductive pair $G_2 \times S_E$ in $Spin_8^E \times S_E$ gives rise to an exceptional Θ -lift.
- Assume that $\mathcal{L}^S(s, \pi, \chi_E, st)$ has a pole of maximal order at $s = 2$.

$$\begin{aligned}
 0 &\neq \text{Res}_{s=2} \left[\int_{G(\mathbb{Q}) \backslash G(\mathbb{A})} \varphi(g) \mathcal{E}(\chi, f, s, g) dg \right] \\
 &= \int_{G(\mathbb{Q}) \backslash G(\mathbb{A})} \varphi(g) \text{Res}_{s=2} [\mathcal{E}(\chi, f, s, g)] dg \\
 &= \int_{G(\mathbb{Q}) \backslash G(\mathbb{A})} \varphi(g) \theta(g) dg = \Theta_{S_E}(\varphi)(1)
 \end{aligned}$$

Theorem (S)

IF $\mathcal{L}^S(s, \pi, \chi_E, st)$ admits a pole of maximal order at $s = 2$ then $\Theta_{S_E}(\pi) \neq 0$.

So what did that had to do with r_{1*} ?

General Theory	GGJ-lift
G	$GL_1 \times G_2$
G^\vee	$\mathbb{C}^\times \times G_2(\mathbb{C})$
H	PGL_3, PD^\times
H^\vee	$SL_3(\mathbb{C})$
$r : H^\vee \rightarrow G^\vee$	$r_1 : SL_3(\mathbb{C}) \rightarrow G_2(\mathbb{C})$
$\rho : G^\vee \rightarrow GL_N(\mathbb{C})$	$\rho = \text{st} : \mathbb{C}^\times \times G_2(\mathbb{C}) \rightarrow GL_7(\mathbb{C})$
$\text{“ord}_{s=s_0} \mathcal{L}^S(s, \pi, \rho) = n$ $\Rightarrow \exists \tau : r_{1*}(\tau) = \pi\text{”}$	$\text{ord}_{s=2} \mathcal{L}^S(s, \pi, \chi_E, \text{st}) = n_E$ $\Rightarrow \Theta_{S_E}(\pi) \neq 0$ $\stackrel{\text{Gan}}{\Rightarrow} r_{1*}(\chi_E) = \pi, \quad \text{when } \chi_E^3 = \mathbf{1}$

Remark

All automorphic representations of $S_E(\mathbb{A})$ are nearly equivalent to the trivial representation.

Backward Lift for r_{2^*} : The Rallis-Schiffmann Lift

- For a square-integrable irreducible representation σ of $\widetilde{SL}_2(\mathbb{A})$, the theta lift $\theta_{14}(\sigma)$ to SO_7 is irreducible and non-cuspidal.
- If σ is Saito-Kurokawa ($\theta_{10}(\sigma) \neq 0$) then the restriction of $\theta_{14}(\sigma)$ to $G_2(\mathbb{A})$ is a non-zero cuspidal representation (not necessarily irreducible).

Theorem (Gurevich-S)

For a cuspidal irreducible representation π of $G_2(\mathbb{A})$ the following is equivalent

- 1 There exists an automorphic irreducible square integrable representation σ of $\widetilde{SL}_2(\mathbb{A})$ such that π is a weak lift of σ .
- 2 The partial \mathcal{L} -function $\mathcal{L}^S(s, \pi, \mathbf{1}, st)$ has a pole at $s = 2$.

The pole of $\mathcal{L}^S(s, \pi, \mathbf{1}, st)$ is simple unless $\Theta_{S_3}(\pi) \neq 0$

- Assume that $\mathcal{L}^S(s, \pi, \mathbf{1}, st)$ has a simple pole at $s = 2$.
- It follows that π supports an $F \times K$ -Fourier coefficient, where $K \neq F \times F$.
- It follows that there exist $\varphi \in \pi$ and $\mathcal{E}(\mathbf{1}, s, f, g)$

$$\begin{aligned} 0 &\neq \operatorname{Res}_{s=2} \left[\int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \mathcal{E}(\mathbf{1}, s, f, g) dg \right] \\ &= \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \operatorname{Res}_{s=2} [\mathcal{E}(\mathbf{1}, s, f, g)] dg, \end{aligned}$$

Theorem - Siegel-Weil Type Identity

$$\operatorname{Res}_{s=2} [\mathcal{E}_{F \times K}(\mathbf{1}, s, f, g)] = \tilde{\mathcal{E}}(s, \tilde{f}, g) \Big|_{s=2},$$

where $\tilde{\mathcal{E}}$ is a degenerate Eisenstein series associated to the Siegel parabolic subgroup Q of $H_{F \times K}$.

- Let Π_Q denote the residual representation of $\widetilde{\mathcal{E}}$.
- It follows that there exist $\eta \in \Pi_Q$ such that

$$\begin{aligned} 0 &\neq \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \eta(g) dg \\ &= \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \bar{\eta}(g) dg, \end{aligned}$$

where $\bar{\eta}$ is in the residual representation $\Pi_{\bar{Q}}$ of a degenerate Eisenstein series in $SO(V_K^8)$ - the orthogonal group of an 8-dimensional quadratic space with discriminant associated to K .

- Note that $V_K^8 = V_{split}^7 \oplus V_K^1$ and that $G_2 \subset SO(V_{split}^7)$.

- Consider the following see-saw diagram in \widetilde{Sp}_{16}

$$\begin{array}{ccccc}
 RS_\psi(\varphi) \times \theta(\mathbf{1}) & \widetilde{SL}_2 \times \widetilde{SL}_2 & SO(V_K^8) & \bar{\eta} = \theta(\mathcal{E}(\chi_K, \underline{f}, \underline{s})) & \\
 & \uparrow & \uparrow & & \\
 & \Delta & & & \\
 \mathcal{E}(\chi_K, \underline{f}, \underline{s}) & \widetilde{SL}_2 & G_2 \times SO(V_K^1) & \varphi \times \mathbf{1} & \\
 & \downarrow & \downarrow & &
 \end{array}$$

$$\begin{aligned}
 & \int_{G_2(\mathbb{Q}) \backslash G_2(\mathbb{A})} \varphi(g) \theta(\mathcal{E}(\chi_K, \underline{f}, \underline{s}))(g) dg \neq 0 \\
 \Rightarrow & \int_{\widetilde{SL}_2(\mathbb{Q}) \backslash \widetilde{SL}_2(\mathbb{A})} RS_\psi(\varphi)(h) \theta(\mathbf{1})(h) \mathcal{E}(\chi_K, \underline{f}, \underline{s}, h) dh \neq 0
 \end{aligned}$$

- We conclude that $RS_\psi(\varphi) \neq 0$.

So what did that had to do with r_{2^*} ?

General Theory	RS-lift
G	G_2
G^\vee	$G_2(\mathbb{C})$
H	$PGL_2 \times PGL_2$
H^\vee	$SL_2(\mathbb{C}) \times SL_2(\mathbb{C})$
$r : H^\vee \rightarrow G^\vee$	$r_2 : SL_2(\mathbb{C}) \times SL_2(\mathbb{C}) \rightarrow G_2(\mathbb{C})$
$\rho : G^\vee \rightarrow GL_N(\mathbb{C})$	$\rho = st : G_2(\mathbb{C}) \rightarrow GL_7(\mathbb{C})$
$\text{“ord}_{s=s_0} \mathcal{L}^S(s, \pi, \rho) = n$ $\Rightarrow \exists \tau : r_{1^*}(\tau) = \pi$	$\text{ord}_{s=2} \mathcal{L}^S(s, \pi, st) = 1$ $\Rightarrow RS_\psi(\pi) \neq 0$ $\xRightarrow{RS} r_{2^*}(\tau \boxtimes \mathbf{1}) = \pi, \quad \tau = Wd(RS_\psi(\pi))$

Thank You!